

## OVERVIEW OF HIPAA CLAIMS AND MEDS ELIGIBILITY FILES VARIABLE CHECKLISTS

These tables lists the three categories of data described in the HIPAA Privacy Rule regulations (45 CFR, Parts 160, 162 and 164) and the variables that are permitted to be released in each of these categories. For more detailed information on the HIPAA Privacy Rule regulations please refer to the web site maintained by the US Department of Health and Human Services, Office of Civil Rights at <http://www.hhs.gov/ocr/hipaa/>.

**De-Identified Data Sets:** There are no restrictions for releasing De-Identified data sets. Anyone may request and receive De-Identified data sets.

**Limited and Confidential Data Sets:** Limited and Confidential data sets may be disclosed only for the purposes of research, public health, or standard health care operations (please see the HIPAA regulations for details). Research is defined in the Privacy Rule as, “a systematic investigation including research development, testing, and evaluation, designed to develop or contribute to generalizable knowledge.” The intent to disseminate findings outside of the requestor’s organization or industry is an essential condition for generalizability. Research should not be confused with healthcare operations such as quality assurance, marketing or other internal operations.

Release of data in Limited and Confidential data sets is restricted to the minimum necessary to achieve the stated goals of the requesting entity. This means that the requestor will need to justify their selection of variables, time periods, etc.

Release of Limited data sets will require a Data Use Agreement between the requester and Medical Care Statistics Section. Release of Confidential data sets will require approval of the Department of Health Services' Committee for The Protection of Human Subjects (<http://www.oshpd.cahwnet.gov/CPHS/index.htm>), in addition to a Data Use Agreement.

**Claims File HIPAA Variable List  
Based on the '35' Paid Claims File**

Variable	Available For Use In De-Identified Data Sets (Safe Harbor Method)*	Available For Use In Limited Data Sets	Available For Use In Confidential Data Sets
1. Record ID	X	X	X
2. Segment Count	X	X	X
3. Plan Code	X	X	X
4. DHS Claim Type	X	X	X
5. Internal Control Number			X
6. Beneficiary ID: County		X	X
7. Beneficiary ID: Aid Code	X	X	X
8. Beneficiary ID: Case Number			X
9. Beneficiary ID: Family Budget Unit	X	X	X
10. Beneficiary ID: Person Number	X	X	X
11. Beneficiary Name			X
12. Sex	X	X	X
13. Race	X	X	X
14. HIC Number			X
15. Provider Zip Code	X	X	X
16. Provider Number	X	X	X
17. Hospital Reimbursement Rate	X	X	X
18. Patient Liability Amount	X	X	X
19. Provider County	X	X	X
20. Physician Specialty	X	X	X
21. Vendor Code	X	X	X
22. Discharge/Status Code	X	X	X
23. Offset	X	X	X
24. Surgery	X	X	X
25. Medicare Indicator	X	X	X
26. Admission Date	Year only	Complete date is allowed.	Complete date is allowed.
27. Discharge Date	Year only	Complete date is allowed.	Complete date is allowed.
28. Adjudication/Check Date	X	X	X
29. Primary Diagnosis Code (ICD)	X	X	X
30. Co-Insurance Amount	X	X	X
31. Total Medi-Cal Billed Amount	X	X	X
32. Total Medi-Cal Paid Amount	X	X	X
33. Family Planning Indicator	X	X	X
34. Federal Financial Participation	X	X	X
35. Adjustment Indicator	X	X	X
36. Days Stay	X	X	X
37. Other Coverage Amount	X	X	X

**Claims File HIPAA Variable List  
Based on the '35' Paid Claims File**

Variable	Available For Use In De-Identified Data Sets (Safe Harbor Method)*	Available For Use In Limited Data Sets	Available For Use In Confidential Data Sets
38. Adjustment ICN			X
39. From Date of Service	Year only	Complete date is allowed.	Complete date is allowed.
40. To Date of Service	Year only	Complete date is allowed.	Complete date is allowed.
41. Other Coverage Indicator	X	X	X
42. Social Security Number**			X
43. Birth Date	Year or age only, but only for individuals less than 90 years of age.	Complete date is allowed for all individuals.	Complete date is allowed for all individuals.
44. Provider Type Code	X	X	X
45. Category of Service	X	X	X
46. CCS/GHPP Indicator	X	X	X
47. Provider Name	X	X	X
48. Minor Consent Service Code	X	X	X
49. Restricted Service Code	X	X	X
50. FI Claim Type	X	X	X
51. Prepaid Health Plan Code	X	X	X
52. FI Provider Type Code	X	X	X
53. Category of Service	X	X	X
54. Secondary Diagnosis Code	X	X	X
55. Claim Emergency Indicator	X	X	X
56. Claim Adjudication Date	X	X	X
57. Admission Necessity Code	X	X	X
58. Patient Status Code	X	X	X
59. Primary Surgery Code	X	X	X
60. Secondary Surgery Code	X	X	X
61. Surgery Date	Year only	Complete date is allowed.	Complete date is allowed.
62. LTC/SOC Indicator	X	X	X
63. Admit Source	X	X	X
64. Client Index Number			X
65. Medicare Billed Amount	X	X	X
66. Medicare Paid Amount	X	X	X
67. Medicare Deduction Code	X	X	X
68. Medicare Deductible Amount	X	X	X
69. From Date of Service	Year only	Complete date is allowed.	Complete date is allowed.
70. To Date of Service	Year only	Complete date is allowed.	Complete date is allowed.
71. PCCM Indicator	X	X	X
72. Other Health Care Coverage	X	X	X

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Variable	Available For Use In De-Identified Data Sets (Safe Harbor Method)*	Available For Use In Limited Data Sets	Available For Use In Confidential Data Sets
73. EPSDT Service Indicator	X	X	X
74. TAR Indicator	X	X	X
75. Place of Service	X	X	X
76. Type of Service	X	X	X
77. Procedure Code	X	X	X
78. Procedure Indicator	X	X	X
79. Accommodation Code	X	X	X
80. Tooth or Modifier	X	X	X
81. Units of Service	X	X	X
82. Prescription Number			X
83. CoPay Amount	X	X	X
84. Pricing	X	X	X
85. Prescribing Provider Number	X	X	X
86. EPSDT Referral Code	X	X	X
87. CoPay Indicator	X	X	X
88. Drug Manufacturer	X	X	X
89. FI Type of Service	X	X	X
90. Medi-Cal Reimbursement Amt.	X	X	X
91. Other Coverage Amount	X	X	X
92. Original Place of Service	X	X	X
93. FI Place of Service	X	X	X
94. First Databank Smart Key	Never to be released to anyone outside of the DHS.	Never to be released to anyone outside of the DHS.	Never to be released to anyone outside of the DHS.
95. Drug Days Supply	X	X	X
96. Medical Supply Indicator	X	X	X
97. Compound Drug Indicator	X	X	X
98. Tooth Surface Location	X	X	X
99. FFP Indicator	X	X	X

\* A Statistically de-identified data set (e.g. a summarized data set) may have variables not allowed in a data set de-identified by the Safe Harbor Method (See Section 164.514(a) and (b) of the HIPAA regulations for characteristics of data sets de-identified data by the Safe Harbor method versus the Statistical method).

\*\* An identifier can be created by MCSS for De-Identified and Limited data sets that researchers can use for longitudinal studies.

**MEDS Eligibility File HIPAA Variable Checklist**  
**Based On The 1025 Byte MEDS MEF**

Variable*	Available For Use In De-Identified Data Sets (Safe Harbor Method**)	Available For Use In Limited Data Sets	Available For Use In Confidential Data Sets
1. Social Security Number***			X
2. HIC Number			X
3. County Case Number			X
4. Date of Birth	Year or age only, but only for individuals less than 90 years of age.	Complete date is allowed for all individuals.	Complete date is allowed for all individuals.
5. Gender	X	X	X
6. Ethnicity	X	X	X
7. Language	X	X	X
8. SSAN VER CD	X	X	X
9. Redetermination Month	X	X	X
10. Case Name			X
11. Beneficiary Name			X
12. Beneficiary Address			X
13. Beneficiary City		X	X
14. Beneficiary State	X	X	X
15. Beneficiary Zip Code	First three digits are allowed, but only if the geographic unit formed by combining all zip codes with the same three initial digits contains more than 20,000 people. For all such geographic units containing less than 20,000 people the Zip Code must be zero filled or blank.	Complete Zip Code is allowed.	Complete Zip Code is allowed.
16. County Medi-Cal Worker (Medical District)			X
17. County Medi-Cal Worker (Medi-Cal Eligibility Worker)			X
18. County Code		X	X
19. Aid Code	X	X	X
20. Eligibility Status	X	X	X
21. Other Coverage Code	X	X	X
22. Share of Cost	X	X	X
23. PHP Code	X	X	X

**MEDS Eligibility File HIPAA Variable Checklist  
Based On The 1025 Byte MEDS MEF**

Variable*	Available For Use In De-Identified Data Sets (Safe Harbor Method**)	Available For Use In Limited Data Sets	Available For Use In Confidential Data Sets
24. PHP Status	X	X	X
25. Medicare Status	X	X	X
26. Surs Code	X	X	X
27. Special County 1 Code		X	X
28. Special County 1 Aid Code	X	X	X
29. Special 1 Eligible Status	X	X	X
30. Special County 2 Code		X	X
31. Special County 2 Aid Code	X	X	X
32. Special 2 Eligible Status	X	X	X
33. Special Obligation	X	X	X

\* Does not include Food Stamps program fields.

\*\* A Statistically de-identified data set (e.g. a summarized data set) may have variables not allowed in a data set de-identified by the Safe Harbor Method (See Section 164.514(a) and (b) of the HIPAA regulations for characteristics of data sets de-identified data by the Safe Harbor method versus the Statistical method).

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